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ATTORNEY DOCKET NO. WAPH.002.04US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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DEC 23 2002

In re application of: Indu Parikh *et al*

Serial No.: 10/029,551

Filed: December 20, 2001

For: TREATMENT FOR DIABETES

) Examiner: Not Yet Assigned TECH CENTER 1600/2900

) Art Unit: 1632

) TRANSMITTAL

) SECOND INFORMATION

) DISCLOSURE STATEMENT

BOX DD

Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is:

☒ A Second Information Disclosure Statement.

☒ A Form PTO-1449.

☒ A copy of reference B1 as listed on the Form PTO-1449.

☒ Return postcard (postage prepaid).

☒ A copy of this Transmittal.

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.:

E125806173US

Date of Deposit:

December 17, 2002

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to BOX DD, Commissioner for Patents, Washington, D.C., 20231.

(Signature)

(Printed Name)

K. Parikh

Karen Patchen

1. ☒ This Information Disclosure Statement is filed before the later of:
- ☐ three months from the filing date of this national application;
 - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
 - ☒ the mailing date of a first office action on the merits.
- ☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
- (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.
- ☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
- ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$240; or
 - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
- (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.
- ☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:
- (1) A certification, as stated below;
 - (2) A Petition requesting consideration of the Information Disclosure Statement; and
 - (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

The fees indicated above are:

TOTAL FEES: \$0.00


- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ _____.
- ☐ A check in the amount of \$ _____ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

PATENT

ATTORNEY DOCKET NO. WAPH.002.04US

Respectfully submitted,

Dated: December 16, 2002



Barbara Rae-Venter, Ph.D.
Reg. No. 32,750

Rae-Venter Law Group, P.C.
P.O. Box 60039
Palo Alto, CA 94306-0039
Telephone (650) 328-4400
Facsimile (650) 328-4477

BRV/MA/kp



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Commissioner for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EV 258066173US

Date of Deposit: December 17 2002

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K. Parikh
(Signature)

Karen Parikh
(Printed Name)


PATENT

ATTORNEY DOCKET NO. WAPH.002.04US

The subject application is believed patentable over any of the above references.

Respectfully submitted,

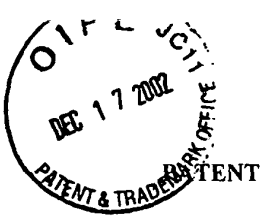
Dated: December 16, 2002



Barbara Rae-Venter, Ph.D.
Reg. No. 32,750

Rae-Venter Law Group, P.C.
PO Box 1898
Monterey, CA 93942-1898
Phone: (831) 648-3090
Facsimile: (831) 242-0137

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COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 1In re the application of: Indu Parikh, *et al.*

Art Unit: 1632

Serial No. 10/029,551

Examiner: Not yet assigned

Filed: December 20, 2001

U.S. PATENT DOCUMENTS

| Ref. | Examiner's | Document | | | Class/ | Filing |
|---------------|-----------------|---------------|-------------|-------------|-----------------|-------------|
| <u>Desig.</u> | <u>Initials</u> | <u>Number</u> | <u>Date</u> | <u>Name</u> | <u>Subclass</u> | <u>Date</u> |

PENDING U.S. PATENT DOCUMENTS

| Ref. | Examiner's | | | | |
|---------------|-----------------|------------------------|-------|-------------|--------------------|
| <u>Desig.</u> | <u>Initials</u> | <u>Document Number</u> | | <u>Name</u> | <u>Filing Date</u> |
| B1 | | 08/737,446 | Dupre | | 05/12/1995 |

FOREIGN PATENT DOCUMENTS

| Ref. | Examiner's | Document | | | Class/ | |
|---------------|-----------------|---------------|-------------|----------------|-----------------|--------------------|
| <u>Desig.</u> | <u>Initials</u> | <u>Number</u> | <u>Date</u> | <u>Country</u> | <u>Subclass</u> | <u>Filing Date</u> |

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

| Ref. | Examiner's |
|---------------|-----------------|
| <u>Desig.</u> | <u>Initials</u> |

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.